

## **Introduction**

Washington Growth Strategies LLC (“WGS”) is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://Investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## **What investment services and advice can you provide me?**

WGS provides highly customized investment management services to clients on a discretionary basis. Portfolios are actively managed with our investment decisions dictated by both financial market conditions and the evolution of our clients’ needs over time.

WGS acts as the investment manager for a pooled investment vehicle, Washington Growth Fund, LP (“WGF”) and an affiliate of WGS serves as the general partner to the same vehicle. The investment program of WGF involves the investment of assets in various asset classes. The Fund may, among other things, employ leveraging strategies and hedge its investments by short selling and utilizing options in either the stock underlying specific securities or various market indices. Clients may be invited to invest in WGF, but only if the respective investment is appropriate for the client. WGS charges a management fee to the private placement. The management of the assets in WGF are managed in accordance with the funds offering documents. Clients invested in WGF should consult the offering documents.

For some clients, WGS may include certain transactional costs in the client’s management fee. Fees included in the wrap fee include transaction fees for the purchase or sale of securities, but do not include expenses related to the use of margin, wire transfer fees, the fees charged to shareholders of mutual funds or ETFs, mark-ups and mark-downs, spreads, odd-lot differentials, fees charged by regulatory agencies, and any transaction fees for securities trades executed by a broker-dealer other than Schwab Advisor Services. There is no difference between how WGS manages wrap free accounts and how WGS manages other accounts.

For more detailed information, please refer to our Disclosure Brochure, the ADV Part 2A, under Item 4 Advisory Business and Item 7 Types of Clients, which can be found by [CLICKING HERE](#).

### **➤ Questions to Ask Your Professional:**

- **“Given my financial situation, should I choose an investment advisory service? Why or why not?”**
- **“How will you choose investments to recommend to me?”**
- **“What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”**

## **What fees will I pay?**

For asset management services, fees vary from 0.50% to 2.00% per annum of the market value of a client’s assets managed by WGS. The fee range stated is a guide. Fees may be higher or lower than this range, based on the nature of the account. Factors affecting fee percentages include the size of the account, complexity of asset structures, and other factors. All clients, but especially those with smaller accounts, should be advised they may receive similar services from other professionals for higher or lower overall costs.

Fees charged to pooled investment vehicles are as described in the vehicle’s respective private placement memorandum. The fund’s general partner, in conjunction with WGS, may modify or waive the fee arrangement for a given limited partner. Please see the fund’s private placement memorandum for specific details.

There are a number of other fees that can be associated with holding and investing in securities. For clients participating in WGS’s wrap program, these include some fees that may be paid by WGS on your behalf, such as transaction fees for the purchase or sale of a mutual fund or Exchange Traded Fund, or commissions for the purchase or sale of a stock. All other fees will be deducted from your account. Expenses of a mutual fund or ETF will not be included in management fees, as they are deducted from the value of the shares by the manager. Fees charged by independent third party managers are also separate and additional to any fees paid to WGS, and such managers will be authorized to separately debit fees from client accounts.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more specific information regarding our fees, please refer our Disclosure Brochure, the ADV Part 2A under Item 5 Fees and Compensation by [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

- ***“Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”***

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**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

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**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

WGS is the investment manager to the Washington Growth Fund. This presents a conflict of interest because it creates an incentive for WGS to allocate resources and individual ideas to Washington Growth Fund over accounts because of the enhanced competition in the form of a performance fee. WGS attempts to mitigate this conflict by adhering to investment program guidelines of each client and following the Firm's Compliance Manual which is designed to assist employees in following applicable laws.

Also, the general partner of the Washington Growth Fund is affiliated with WGS by common ownership. However, the general partner does not have its own employees and employees of WGS provide all such investment advisory services to both the Washington Growth Fund and separate accounts.

For more specific information regarding compensation and conflicts, please refer our Disclosure Brochure, the ADV Part 2A under Item 10 Outside Affiliations by [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

- ***“How might your conflicts of interest affect me, and how will you address them?”***

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**How do your financial professionals make money?**

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Financial professionals of WGS are paid a portion of the asset management fees collected from clients. Financial professionals are not rewarded sales bonuses. Please reference the above fee description section for conflicts of interest.

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**Do you or your financial professionals have legal or disciplinary history?**

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No. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](http://Investor.gov/CRS).

➤ **Questions to Ask Your Professional:**

- ***“As a financial professional, do you have any disciplinary history? For what type of conduct?”***

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**Additional Information**

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Additional information about our investment advisory services can be found at [www.washingtongrowthstrategies.com](http://www.washingtongrowthstrategies.com) or by [CLICKING HERE](#). A copy of our *relationship summary* can also be requested by calling (202) 507-5725.

➤ **Questions to Ask Your Professional:**

- ***“Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?”***